

Resolution re: Investments

Effective Date: November 19, 2020

Supersedes: April 24, 2014; November 26, 2009; May 4, 2006; September 28, 2000;
April 22, 1999; October 3, 1996; January 21, 1996; January 28, 1994;
March 24, 1988; March 29, 1984; September 22, 1983, November 18, 1977

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1. Any one of Group "A" hereunder, together with any one of Group "B" hereunder, may purchase, transfer, exchange or otherwise dispose of securities owned by or held in the name of The University of Western Ontario.

GROUP "A"

President & Vice-Chancellor _____

Vice-President (Operations & Finance) _____

Provost & Vice-President (Academic) _____

University Secretary _____

GROUP "B"

Associate Vice-President (Financial Services) _____

Director, Investments _____

Controller _____

Director, Treasury Services _____

2. (a) In the event that the University implements "Organized Closure" procedures as a result of the Middlesex-London Health Unit raising the pandemic alert level to phase 6, any one of Group "A" OR Group "B" may purchase, transfer, exchange or following will have authority to issue sell instructions related to those donated securities held in the approved brokerage accounts.

Executive Director, Advancement Operations _____

Director of Finance, Advancement Operations _____

Financial Officer, Advancement Operations _____

- (c) Subsequent reporting to the Property & Finance Committee will be completed for any transactions initiated under 2(a) that exceed \$5.0 million.
3. All investment transactions will be conducted in accordance with policies approved by the Board of Governors.

Dated this _____ day of _____, _____, at London, Ontario

THE BOARD OF GOVERNORS
THE UNIVERSITY OF WESTERN ONTARIO

A. Shepard
President & Vice-Chancellor

A. Bryson
University Secretary

(Corporate Seal)