

Department of Statistical and Actuarial Sciences
FM2557B Financial Markets and Investments

Course outline for Winter 2021

Although this academic year might be different, Western University is committed to a **thriving campus**. We encourage you to check out the [Digital Student Experience](#) website to manage your academics and well-being. Additionally, the following link provides available resources to support students on and off campus: <https://www.uwo.ca/health/>.

Technical Requirements and Important Dates:

Stable internet connection

Laptop or computer

Working microphone

Working webcam

Classes Start	Reading Week	Classes End	Study day(s)	Exam Period
January 11	February 15 - 19	April 12	April 13	April 14 - 30

* March 15, 2021: Last day to drop a second-term half course or a second-term full course without penalty

1. Course Information

Course Information

Course Name: Financial Markets and Investments

Course Number: FM 2557B

Term: Winter

decision may not be appealed. You will receive no adjustment to your fees in the event that you are dropped from a course for failing to have the necessary prerequisites.

2. Instructor Information

Course Coordinator	Contact Information
TBD	

Instructor(s) or Teaching Assistant(s)	Contact Information
Kyle Leistner (Instructor)	kleistne@uwo.ca
Junhe Chen (TA)	Jche64@uwo.ca
Pramod Rao (TA)	ppurigal@uwo.ca

Office Hours	Zoom or MS Teams or in Person	Names
Friday 9:30 to 12:30	Zoom	Kyle Leistner

Students must use their Western (@uwo.ca) email addresses when contacting their instructors.

3.

courses may be required to achieve exam credit. Details of required courses and grades at Western University are [available at:](#)

<https://www.cia-ica.ca/membership/university-accreditation-program-home>

In addition to the university's internal policies on conduct, including academic misconduct, candidates pursuing credits for writing professional examinations shall also be subject to the [Code of Conduct and Ethics for Candidates in the CIA Education System](#) and the associated [Policy on Conduct and Ethics for Candidates in the CIA Education System](#). For more information, please visit [Obtaining UAP Credits](#)

- X The weight of a missed test will be transferred to the final exam
- X If a make-up assessment is missed, the student will receive an INC and complete the task the next time the course is offered

Rounding of Marks Statement

Across the Sciences Undergraduate Education programs, we strive to maintain high standards that reflect the effort that both students and faculty put into the teaching and learning experience during this course. All students will be treated equally and evaluated based only on their actual achievement. Final grades on this course, irrespective of the number of decimal places used in marking individual assignments and tests, will be calculated to one decimal place and rounded to the nearest integer, e.g., 74.4 becomes 74, and 74.5 becomes 75. Marks WILL NOT be bumped to the next grade or GPA, e.g. a 79 will NOT be bumped up to an 80, an 84 WILL NOT be bumped up to an 85, etc. The mark attained is the mark you achieved, and the mark assigned; requests for mark “bumping” will be denied.

6. Accommodation and Accessibility

Accommodation Policies

Students with disabilities work with Accessible Education (formerly SSD) which provides recommendations for accommodation based on medical documentation or psychological and cognitive testing. The Academic Accommodation for Students with Disabilities policy can be found at:

https://www.uwo.ca/univsec/pdf/academic_policies/appeals/Academic_Accommodation_disabilities.pdf

Academic Consideration for Student Absence

Students will have up to two (2) opportunities during the regular academic year to use an on-line portal to self-report an absence during the semester, provided the following conditions are met: the absence is no more than 48 hours in duration, and the assessment for which consideration is being sought is worth 30% or less of the student’s final grade. Students are expected to contact their instructors within 24 hours of the end of the period of the self-reported absence, unless noted on the syllabus. Students are not able to use the self-reporting option in the following circumstances:

- x for exams scheduled by the Office of the Registrar (e.g., December and April exams)
- x absence of a duration greater than 48 hours,
- x assessments worth more than 30% of the student’s final grade,
- x if a student has already used the self-reporting portal twice during the academic year

If the conditions for a Self-Reported Absence are not met, students will need to provide a Student Medical Certificate if the absence is medical, or provide appropriate documentation if there are compassionate grounds for the absence in question. Students are encouraged to contact their Faculty academic counselling office to obtain more information about the relevant documentation.

Students should also note that individual instructors are not permitted to receive documentation directly from a student, whether in support of an application for consideration on medical grounds, or for other reasons. All documentation required for absences that

are not covered by the Self -Reported Absence Policy must be submitted to the Academic Counselling office of a student's Home Faculty.

For policy on Academic Consideration for Student Absences - Undergraduate Students in First Entry Programs, see:

https://www.uwo.ca/univsec/pdf/academic_policies/appeals/Academic_Consideration_for_absences.pdf

and for the Student Medical Certificate (SMC), see:

http://www.uwo.ca/univsec/pdf/academic_policies/appeals/medicalform.pdf

Religious Accommodation

Students should consult the University's list of recognized religious holidays, and should give reasonable notice in writing, prior to the holiday, to the Instructor and an Academic Counsellor if their course requirements will be affected by a religious observance. Additional information is given in the Western Multicultural Calendar:

<https://multiculturalcalendar.com/ecal/index.php?s=c-univwo>

You may also be eligible to write the Special Exam if you are in a "Multiple Exam Situation" (see http://www.registrar.uwo.ca/examinations/exam_schedule.html).

7. Academic Policies

The website for Registrarial Services is <http://www.registrar.uwo.ca>.

In accordance with policy, <http://www.uwo.ca/its/identity/activatenonstudent.html>, the centrally administered e-mail account provided to students will be considered the individual's official university e-mail address. It is the responsibility of the account holder to ensure that e-mail received from the University at his/her official university address is attended to in a timely manner.

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Copyright Statement

Please be aware that all course materials created by the instructor(s) are copyrighted and cannot be sold/shared. Those include materials used in tests/quizzes, midterms, and finals. Any posting/sharing of such materials in part or whole without owner's consent is considered as violation of the Copyright Act and will be considered as a scholastic offence.

In addition, online services such as Chegg are actively monitored. Any questions that are coming out during midterms and finals and are posted to an online service will be searched. Such an activity will be considered as a scholastic offence and will result in academic penalty.

8. Support Services

Please visit the Science & Basic Medical Sciences Academic Counselling webpage for information on add/drop courses, academic considerations for absences, appeals, exam conflicts, and many other academic related matters: <https://www.uwo.ca/sci/counselling/>

Please contact the course instructor if you require lecture or printed material in an alternate format or if any other arrangements can make this course more accessible to you. You may also wish to contact Student Accessibility Services (SAS) at (519) 661-2147 if you have any questions regarding accommodations.

Western University is committed to providing a learning environment that is accessible to all students. We are committed to providing a learning environment that is accessible to all students. We are committed to providing a learning environment that is accessible to all students.